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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent  
Attorney's Docket No. 002010-685

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In re Patent Application of

James E. Audia, et al.

Application No.: 09/882,777

Filed: June 14, 2001

For: POLYCYCLIC  $\alpha$ -AMINO- $\epsilon$ -  
CAPROLACTAMS AND RELATED  
COMPOUNDS

Group Art Unit: 1624

Examiner: B. Kifle

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a Supplemental Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.97(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☒ A check in the amount of \$ 180.00 is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: 9-30-02  
P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650)622-2300

By: Gerald F. Swiss  
Gerald F. Swiss  
Registration No. 30,113

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Patent

Attorney's Docket No. 002010-68

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
)  
James E. Audia, et al. ) Group Art Unit: 1624  
)  
Application No.: 09/882,777 ) Examiner: B. Kifle  
)  
Filed: June 14, 2001 )  
)  
For: POLYCYCLIC  $\alpha$ -AMINO- $\epsilon$ - )  
CAPROLACTAMS AND RELATED )  
COMPOUNDS )

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed.

Foreign Patents

EP 0 591 529	4/13/94	Europe
EP 0 647 632	4/12/95	Europe
EP 0 945 445	9/29/99	Europe
WO 92/11246	07/09/92	PCT
WO 94/00438	01/06/94	PCT
WO 94/25445	11/10/94	PCT
WO 95/03285	02/02/95	PCT
WO 98/25911	06/18/98	PCT

10/08/2002 TSUGGS 00000008 09882777  
01 FC:126 180.00 UP

5247033	09/24/93	Japan
6211812	08/02/94	Japan
10101560	04/21/98	Japan

European Patent No. 0591529 is the English language equivalent of WO 92/11246;  
European Patent No. 0647632 is the English language equivalent of WO 94/00438 and  
European Patent No. 0945445 is the English language equivalent of WO 98/25911.

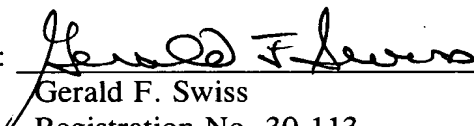
A fee in the amount of \$180.00 (126) is enclosed in conformance with 37 C.F.R.  
§ 1.97(c).

To assist the Examiner, the documents are listed on the attached form PTO-1449.  
It is respectfully requested that an Examiner initialed copy of this form be returned to the  
undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: 9-30-02

By:   
Gerald F. Swiss  
Registration No. 30,113

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300